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TECHNOLOGY CENTER 2800

DATE: November 12, 2002

PTO IDENTIFIER: **Application Number**   09/527,761-Conf. #3261
Patent Number

Inventor: Brian C. Barker, et al.

MESSAGE TO: Examiner S. Lee

FAX NUMBER: (703) 872-9319 (After Final)

FROM: Connolly Bove Lodge & Hutz, LLP

Larry J. Hume

PHONE: (202) 331-7111

Attorney Dkt. #:   21806-00085-US

PAGES (Including Cover Sheet):   31

CONTENTS: Certificate of Transmission (1 page)
Transmittal Letter (1 page);
Amendment Transmittal (1 page);
Fee Transmittal (1 page);
Amendment After Final Action (37 C.F.R. Section 1.116) (26 pages); and

Charge $168.00 to IBM deposit account 09-0456.

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Connolly Bove Lodge & Hutz, LLP
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Telephone: (202) 331-7111   **Facsimile:** (202) 293-6229
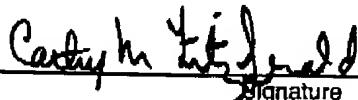
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Certificate of Transmission Under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on _____November 12, 2002_____
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Transmittal Letter (1 page);
Amendment Transmittal (1 page);
Fee Transmittal (1 page);
Amendment After Final Action (37 C.F.R. Section 1.116) (26 pages); and
Charge $168.00 to deposit account 22-0185.

2

Docket No.: 21806-00085-US
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Brian C. Barker, et al.

Conf. No: 3261

Application No.: 09/527,761

Group Art Unit: 2876

Filed: March 17, 2000

Examiner: S. Lee

For: WAFER IDENTIFICATION MARK

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TRANSMITTAL LETTER

NOV 1 2 2002

Commissioner for Patents
Washington, DC 20231

TECHNOLOGY CENTER 2800

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1.   Amendment Transmittal (1 page);

2.   Fee Transmittal (1 page); and

3.   Amendment After Final Action (37 C.F.R. Section 1.116) (26 pages).

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to CBLH Deposit Account No. 22-0185, under Order No. 21806-00085-US. A duplicate copy of this paper is enclosed.

Dated: November 12, 2002

Respectfully submitted,

By _Larry J Hume_
Larry J. Hume
    Registration No.: 44,163
CONNOLLY BOVE LODGE & HUTZ, LLP
1990 M Street, N.W., Suite 800
Washington, DC 20036-3425
(202) 331-7111
Attorneys for Applicant

AMENDMENT TRANSMITTAL LETTER

	Docket No. 21806-00085-US

Application No. 09/527,761-Conf. #3261	Filing Date March 17, 2000	Examiner S. Lee	Art Unit 2876

Applicant(s): Brian C. Barker, et al.

Invention: WAFER IDENTIFICATION MARK

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.
The fee has been calculated and is transmitted as shown below.

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CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	67	- 67 =		x	0.00
Independent Claims	5	- 3 =	2	x 84.00	168.00
Multiple Dependent Claims (check if applicable)			☐		
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					168.00

[X] Large Entity ☐ Small Entity

☐ No additional fee is required for this amendment.

[X] Please charge Deposit Account No. 09-0456 (IBM) in the amount of $ 168.00 .
A duplicate copy of this sheet is enclosed.

☐ A check in the amount of $ _____ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

[X] The Commissioner is hereby authorized to charge and credit Deposit Account No. 22-0185
as described below. A duplicate copy of this sheet is enclosed.

  [x] Credit any overpayment.

  [x] Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Larry J Hume (signature)

Larry J. Hume
Attorney Reg. No.: 44,163

Dated: November 12, 2002

CONNOLLY BOVE LODGE & HUTZ, LLP
1990 M Street, N.W., Suite 800
Washington, DC 20036-3425
(202) 331-7111

Revised version of PTO/SB/17 (Based on PTO-issued Transmittal of 11-01)
Updated for 4-digit fee codes effective 10-01-02

FEE TRANSMITTAL
for FY 2003
Patent fees are subject to annual revision.

Complete if Known	
Application Number	09/527,761-Conf. #3261
Filing Date	March 17, 2000
First Named Inventor	Brian C. Barker
Examiner Name	S. Lee
Group Art Unit	2876
Attorney Docket No.	21806-00085-US

[ ] Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT ($) 168.00

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METHOD OF PAYMENT (check all that apply)

[ ] Check [ ] Credit Card [ ] Money Order [ ] Other [ ] None

[X] Deposit Account

Deposit Account Number: 09-0456

Deposit Account Name: International Business Machines

The Commissioner is hereby authorized to: (check all that apply)

[X] Charge fee(s) indicated below [X] Credit any overpayments

[X] Charge any additional fee(s) during the pendency of this application

[ ] Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) ($) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	57	-87** =	x = 0.00
Independent Claims	5	-3** = 2	x 84.00 = 168.00
Multiple Dependent			

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) 168.00

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1906	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	740	2910	370	For each additional invention to be examined (37CFR 1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($) 0.00

SUBMITTED BY

Name (Print/Type)	Larry J. Hume	Registration No. (Attorney/Agent)	44,163	Complete (if applicable)	
Signature	*Larry J. Hume*			Telephone	(202) 331-7111
				Date	November 12, 2002